Modal logics of finite direct powers of ω have the finite model property

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Causal \leq and *chronological* \prec *future relations* in Minkowski spacetime \mathbb{R}^n :

$$(x_1,\ldots,x_n) \preceq (y_1,\ldots,y_n) \quad \Leftrightarrow \quad \sum_{i=1}^{n-1} (y_i-x_i)^2 \le (x_n-y_n)^2 \& x_n \le y_n,$$
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This talk:

The logics of direct powers of (ω, \leq) and $(\omega, <)$.

This talk is about modal logics of direct products of Kripke frames, their finite model property, and local finiteness.

Language: a countable set VAR (propositional variables), Boolean connectives, a unary connective \Diamond (\Box abbreviates $\neg \Diamond \neg$).

Normal modal logics: Definition 1

A set of modal formulas L is a *normal* modal logic if L contains

all tautologies

• $\Diamond \bot \leftrightarrow \bot$, $\Diamond (p \lor q) \leftrightarrow \Diamond p \lor \Diamond q$

and is closed under MP, Sub, and Mon: if $(\varphi \rightarrow \psi) \in L$, then $(\Diamond \varphi \rightarrow \Diamond \psi) \in L$.

Normal modal logics: Definition 2

A modal algebra is a BA endowed with a unary operation that distributes over finite disjunctions.

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Kripke semantics

A (Kripke) frame F is a pair (W, R), where $W \neq \emptyset$, $R \subseteq W \times W$. A model M on F is a pair (F, θ) where $\theta : VAR \rightarrow \mathcal{P}(W)$. $M, x \models p$ iff $x \in \theta(p)$, $M, x \models \Diamond \varphi$ iff $M, y \models \varphi$ for some y with xRy.

 $Log(F) = \{\varphi \mid F \vDash \varphi\}$, where $M, x \vDash \varphi$ for every M on F and every x in M.

The algebra Alg(F) of a frame F = (W, R) is the modal algebra $(\mathcal{P}(W), R^{-1})$. Hence: $F \vDash \varphi$ iff $Alg(F) \vDash \varphi = \top$.

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A logic L has the *finite model property* if L is the logic of a class C of finite frames/algebras: $L = \bigcap \{ Log(F) \mid F \in C \}.$

An algebra A is *locally finite* if every finitely generated subalgebra of A is finite.

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Alg(F) is locally finite $\Rightarrow Log(F)$ has the finite model property \Leftarrow

Let F = (W, R) be a frame. A partition A of W is *tuned* if for every $U, V \in A$,

 $\exists u \in U \ \exists v \in V \ uRv \Rightarrow \forall u \in U \ \exists v \in V \ uRv.$

F is said to be *tunable* if every <u>finite</u> partition A of *F* admits a <u>finite tuned</u> refinement.



The key tool: The algebra of F is locally finite iff F is tunable.

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TFAE:

- \mathcal{A} is tuned in F
- The equivalence \sim defined by $\mathcal{A}=W/\sim$ satisfies the condition

$$\sim \circ R \subseteq R \circ \sim$$
,

i.e., \sim is a bisimulation w.r.t. R on W.

• $x \mapsto [x]_{\mathcal{A}}$ if a p-morphism from F onto the "Franzen's filtration" $(\mathcal{A}, R_{\mathcal{A}})$, where for $U, V \in \mathcal{A}$,

$$UR_{\mathcal{A}}V$$
 iff $\exists u \in U \ \exists v \in V \ uRv$

[Segerberg, K.: Franzen's proof of Bull's theorem. Ajatus 35, 216-221 (1973)]

• Finite unions of elements of A form a subalgebra of Alg(F).

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Example: The logics/algebras of (ω, \leq) and $(\omega, <)$

Bull, 1965; Schindler, 1970/Segerberg, 1970: $Log(\omega, \leq)$ and $Log(\omega, <)$ have the fmp.

Moreover, the algebras $Alg(\omega, \leq)$ and $Alg(\omega, <)$ are locally finite, since (ω, \leq) and $(\omega, <)$ are (easily!) tunable:

refine a given finite partition ${\mathcal A}$ of ω in such a way that

- \bullet all elements of the refinement ${\cal B}$ are infinite or singletons, and
- singletons form an initial segment of ω .

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A logic L is *locally finite* (or *locally tabular*) if for all $k < \omega$ there are only finitely many k-formulas (i.e., formulas in k variables) up to $\leftrightarrow_{\rm L}$.

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TFAE:

 ${\rm L}$ is locally finite.

Every finitely generated Lindenbaum-Tarski (i.e., free) algebra of L is finite. The variety of L-algebras is *locally finite*, i.e., every finitely generated L-algebra is finite.

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Malcev, 1970s: The variety Var(A) of a finite signature is LF iff there exists $f : \omega \to \omega$ s.t. the cardinality of a subalgebra of A generated by $m < \omega$ elements is $\leq f(m)$.

Shehtman & Sh, 2016: Log(F) is LF iff there exists $f : \omega \to \omega$ s.t. every finite partition \mathcal{A} of F admits a tuned finite refinement \mathcal{B} with $|\mathcal{B}| \leq f(|\mathcal{A}|)$.

 $Alg(\omega, \leq)$ and $Alg(\omega, <)$ are locally finite.

Segerberg, 1971; Maksimova, 1975: The logic of a transitive frame F is locally finite iff F is of finite height.



Example: $\textit{Alg}(\omega,\leq)$ and $\textit{Alg}(\omega,<)$ are locally finite

Proof. The frames (ω, \leq) and $(\omega, <)$ are tunable (easy).

$$(\omega^n, \preceq)$$
 is the *n*-th direct power of (ω, \le) , i.e., for $x, y \in \omega^n$
 $x \preceq y$ iff $x(i) \le y(i)$ for all $i < n$.
 (ω^n, \prec) is the direct power $(\omega, <)^n$:
 $x \prec y$ iff $x(i) < y(i)$ for all $i < n$.

Theorem

For all finite n > 0, $Alg(\omega^n, \preceq)$ and $Alg(\omega^n, \prec)$ are locally finite.

Corollary

The logics $Log(\omega^n, \preceq)$ and $Log(\omega^n, \prec)$ have the finite model property.

Induction step

Consider a non-empty $V \subseteq \omega^n$. Put

 $J(V) = \{i < n \mid \exists x \in V \exists y \in V x(i) \neq y(i)\},\$

$$I(V) = \{i < n \mid \forall x \in V \forall y \in V x(i) = y(i)\} = n \setminus J(V).$$

The hull of V is the set

 $\overline{V} = \{y \in \omega^n \mid \forall i \in I(V) (y(i) = x(i) \text{ for some (for all) } x \in V)\}.$

V is pre-cofinal if it is cofinal in its hull, i.e.,

 $\forall x \in \overline{V} \exists y \in V x \preceq y.$

A partition A of $V \subseteq \omega^n$ is monotone if

- all of its elements are pre-cofinal, and

for all x, y ∈ V such that x ≤ y we have J([x]_A) ⊆ J([y]_A),

where $[x]_{\mathcal{A}}$ is the element of \mathcal{A} containing x.

If A is a monotone partition of ω^n , then A is tuned in (ω^n, \preceq) and in (ω^n, \prec) . Let $A, B \in A$, $x, y \in A, x \preceq z \in B$. Let u be the following point in ω^n :

$$u(i) = y(i) + 1$$
 for $i \in J(A)$, and $u(i) = z(i)$ for $i \in I(A)$. (2)

We have

 $\{i < n \mid u(i) \neq z(i)\} \subseteq n \setminus I(A) = J(A) \subseteq J(B);$

the first inclusion follows from (2), the second follows from the monotonicity of A. Hence, we have u(i) = z(i) for all $i \in I(B)$. By the definition of \overline{B} , we have $u \in \overline{B}$. Since B is cofinal in \overline{B} (we use monotonicity again), for some $u' \in B$ we have $u \leq u'$.

By (2), we have $y(i) \leq u(i)$ for all i < n: indeed, $y(i) = x(i) \leq z(i) = u(i)$ for $i \in I(A)$, and u(i) = y(i) + 1 otherwise. Thus, $y \preceq u$, and so $y \preceq u'$. It follows that A is tuned in (ω^n, \preceq) .

In order to show that A is tuned in (ω^n, \prec) , we now assume that $x \prec z$. Then we have y(i) < u(i)for all i < n, since y(i) = x(i) < z(i) = u(i) for $i \in I(A)$, and u(i) = y(i) + 1 otherwise. Hence $y \prec u$. Since $u \le u'$, we have $y \prec u$, as required.

Let A be a finite partition of ω^n .

For $k \in \omega$ let $U_k = \{y \in \omega^n \mid y(i) \ge k \text{ for all } i < n\}$. Since A is finite, we can choose a natural number k_0 such that

$$f y \in U_{k_0}$$
, then $[y]_A$ is cofinal in ω^n . (3)

Indeed, if $A \in A$ is not cofinal in ω^n , then $U_{k_A} \cap A = \emptyset$ for some $k_A < \omega$; hence, (3) holds whenever k_0 is greater than every such k_A .

It follows that the partition $A|U_{k_0}$ is monotone: it consists of sets that are cofinal in ω^n (and so, they are obviously pre-cofinal), and $J(A) \equiv n$ for all $A \in A|U_{k_0}$.

We are going to extend this partition step by step in order to obtain a sequence of finite monotone partitions of $U_{k_0-1}, ..., U_0 = \omega^n$, respectively refining $A|U_{k_0-1}, ..., A|U_0 = A$.

First, let us describe the construction for the case $k_0 = 1$, the crucial technical step of the proof.

Claim A. Suppose that B is a finite monotone partition of U_1 refining $A|U_1$. Then there exists a finite monotone partition C of ω^n refining A such that $B \subseteq C$. C will be the union of B and a partition of the set

$$V = \{x \in \omega^n \mid x(i) = 0 \text{ for some } i < n\} = \omega^n \setminus U_1.$$

To construct the required partition of V, for $I \subseteq n$ put

$$V_I = \{x \mid \forall i < n \ (i \in I \Leftrightarrow x(i) = 0)\}$$

Then $\{V_I \mid \emptyset \neq I \subseteq n\}$ is a partition of $V, V_{\emptyset} = U_1$.

Each V_i considered with the order \preceq on it is isomorphic to $(\omega^{n-|I|}, \preceq)$. Thus, by the induction hypothesis, for a non-empty $I \subseteq n$ we have:

Each finite partition of
$$V_I$$
 admits a finite monotone refinement. (4)

For $I \subseteq n$, by induction on the cardinality of I we define a finite partition C_I of V_I .

We put $C_{g} = B$.

Assume that I is non-empty. Consider the projection $Pr_I : x \mapsto y$ such that y(i) = 0 whenever $i \in I$, and y(i) = x(i) otherwise. Note that for all $K \subset I$, $x \in V_K$ implies $Pr_I(x) \in V_I$. Let D be the partition induced on V_i by the family

$$A \cup \bigcup_{K \in I} {Pr_I(A) | A \in V_K}.$$
 (5)

By an immediate induction argument, D is finite. Let C_I be a finite monotone refinement of D, which exists according to (4).

We put

$$= \bigcup_{I \subseteq n} C_I.$$

Then C is a finite refinement of A. We have to check monotonicity.

Every element A of C is pre-cofinal, because A is an element of a monotone partition C_I for some I. In order to check the second condition of monotonicity, we consider x, y in ω^n with $x \leq y$ and show that

$$J([x]_{c}) \subseteq J([y]_{c}).$$
 (6)

Let $x \in V_I$, $y \in V_K$ for some $I, K \subseteq n$. Since $x \preceq y$, we have $K \subseteq I$. If $K \equiv I$, then (6) holds, since in this case $[x]_C$ and $[y]_C$ belong to the same monotone partition C_I . Assume that $K \subset I$. In this case we have:

$J([x]_C) \subseteq J([Pr_I(y)]_C) \subseteq J(Pr_I([y]_C)) \subseteq J([y]_C).$

To check the first inclusion, we observe that $Pr_1(y)$ belongs to V_1 (since $K \in I$). This means that $|x_2|$ and $|Pr_1(y)|_2$ are denotes of the same partition G. We show $x \leq Pr_1(y)$ since $x \in V_1$ and $x \leq y$. Now the first inclusion follows from monotoxicity of C_1 . By (5), $\Pr_1(y_2|_2)$ is the union of some elements of C_1 (since $K \subset I$ and $|y_2| \in C_K$), trivially $|Pr_1(y_2|) \in Pr_1(y_2|_2)$. Then $|Pr_1(y_2|)$ is a subset of $Pr_1(y_2|_2)$. This yields the second inclusion. The third inclusion is immediate from the definition. Thus, we have (6), which proves the claim.

From Claim A it is not difficult to obtain the following:

Caim B. Let $0 \le k \le \omega$. If B is a finite monotone partition of $(l_k$ priming AU_k , then there exists a finite monotone partition C of $(l_k = p_k)$ priming AU_{k-1} mode that $B \le C$. Consider the translation $Tr : (l_{k-1} \to \omega^n \operatorname{aking} (k_{1,k-k} \operatorname{to} (l_k - k + 1)_{k-k})$. Let B' be the set $\{Th(A) \mid A \in B\}$ of images 0elements of B for T, and A be the set $\{T(A) \mid A \in AU_k$. The A' is partition of ω^n , B' is a finite monotone partition of U_i refining $A'(U_i$. By Claim A, there exists a finite monotone partition of ω_{k-1} .

Applying Claim B k_0 times, we obtain the required refinement of A.

Question

Let frames F_1 and F_2 be tunable. Is the direct product $F_1 \times F_2$ tunable? In the other words: if $Alg(F_1)$ and $Alg(F_2)$ are LF, is the algebra $Alg(F_1 \times F_2)$ LF?

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Proposition. For every ordinal $\alpha > 0$, the algebras $Alg(\alpha, \leq)$, $Alg(\alpha, <)$ are LF. Proof. The frames (α, \leq) , $(\alpha, <)$ are tunable (an easy induction on α).

Conjecture

If $(\alpha_i)_{i < n}$ is a finite family of ordinals, then the algebras of the direct products $\prod_{i < n} (\alpha_i, \leq)$, $\prod_{i < n} (\alpha_i, <)$ are locally finite.

Question

Let n > 1. Are logics $Log(\omega^n, \preceq)$ and $Log(\omega^n, \prec)$ decidable or at least recursively axiomatizable?

In the one-dimensional case, decidability is a classical result (Bull, 65; Schindler, 1970; Segerberg, 1970).

Every extension of a locally finite logic is locally finite, and so has the finite model property.

The algebras of the frames (ω^n, \preceq) and (ω^n, \prec) are locally finite, the logics of these frames are not (since these frames are of infinite height).

Bull, 1965: Every extension of $Log(\omega, \leq)$ has the finite model property.

Question

Let L be an extension of $Log(\omega^n, \preceq)$ for some finite n > 1. Does L have the finite model property?

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A *subframe* of (W, R) is the restriction $(V, R \cap (V \times V))$, where $V \neq \emptyset$.

Proposition. If the algebra of a frame F is locally finite, then the algebra of any subframe of F is also locally finite.

Proof. Given a partition \mathcal{A} of a subframe G, consider the partition $\mathcal{A} \cup \{W \setminus V\}$ of F. Tune it, and collect elements that are subsets of G.

Corollary

For all finite *n*, if *F* is a subframe of (ω^n, \preceq) or of (ω^n, \prec) , then Alg(F) is locally finite, and Log(F) has the finite model property.

A spinoff: Local finiteness and Glivenko's theorem

(Glivenko, 1929) $\operatorname{CL} \vdash \varphi$ iff $\operatorname{IL} \vdash \neg \neg \varphi$ (Matsumoto, 1955) $\operatorname{S5} \vdash \varphi$ iff $\operatorname{S4} \vdash \neg \Box \neg \Box \varphi$

In Kripke semantics:

IL is the logic of partial orders, CL is the logic of singletons, which are partial orders of height 1. S4 is the logic of preorders, S5 is the logic of equivalence relations, which are preorders of height 1.

Let L[h] be the extension of a logic L with the axiom of height h.

 $\mathrm{IL}[\mathbf{1}] \vdash \varphi \text{ iff } \mathrm{IL} \vdash \neg \neg \varphi \qquad \mathrm{S4}[\mathbf{1}] \vdash \varphi \text{ iff } \mathrm{S4} \vdash \Diamond \Box \varphi$

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| $\mathrm{IL}[1] \vdash \varphi \text{ iff } \mathrm{IL} \vdash \neg \neg \varphi$ | $S4[1] \vdash \varphi \text{ iff } S4 \vdash \Diamond \Box \varphi$ |
|---|---|
| IL[2] $\vdash \varphi$ iff IL \vdash ? | $S4[2] \vdash \varphi \text{ iff } S4 \vdash ?$ |
| IL[3] $\vdash \varphi$ iff IL \vdash ? | $S4[3] \vdash \varphi \text{ iff } S4 \vdash ?$ |
| | |

Formulas and logics of finite height

 $\begin{array}{ll} \text{intermediate:} \quad b_0^{\text{I}} = \bot, \qquad b_{i+1}^{\text{I}} = p_{i+1} \lor (p_{i+1} \to b_i^{\text{I}}) \\ \text{modal:} \qquad b_0 = \bot, \qquad b_{i+1} = p_{i+1} \to \Box(\Diamond p_{i+1} \lor b_i) \\ \end{array}$

L[h] extends L with the formula of height h. In particular,

$$IL[1] = CL, S4[1] = S5$$

The *k*-canonical frame of a logic L (the representation of the *k*-generated free algebra of L) is built from maximal L-consistent sets of *k*-formulas.

Shehtman, 1978: Let $k < \omega$. There exist formulas $\mathbf{B}_{h,k}$ (and their intuitionistic analogs $\mathbf{B}_{h,k}^{l}$) such that for every x in the k-canonical frame F_k of S4 (of Int)

 $\mathbf{B}_{h,k} \in x \iff$ the depth of x in F_k is less than or equal to h.

Theorem

Let $k < \omega$. For all k-formulas φ we have:

- IL[h+1] $\vdash \varphi$ iff IL $\vdash \bigwedge_{i \leq h} ((\varphi \to \mathbf{B}_{i,k}^{\mathrm{I}}) \to \mathbf{B}_{i,k}^{\mathrm{I}});$
- S4[h+1] $\vdash \varphi$ iff S4 $\vdash \bigwedge_{i \leq h} (\Box(\Box \varphi \to \mathbf{B}_{i,k}) \to \mathbf{B}_{i,k}).$

In particular, for h = 0 the formulas $\mathbf{B}_{0,k}$ are \perp for all $k < \omega$:

 $\mathrm{IL}[\mathbf{1}] \vdash \varphi \text{ iff } \mathrm{IL} \vdash \neg \neg \varphi, \quad \mathrm{S4}[\mathbf{1}] \vdash \varphi \text{ iff } \mathrm{S4} \vdash \Diamond \Box \varphi.$

Translations for non-transitive and polymodal cases

Analogs of the above translations exist whenever finite-height extensions of a logic are locally finite.

Segerberg, 1971; Kuznetsov, 1971; Komori, 1975: All S4[h], IL[h] are LF.

A logic is said to be k-finite if, up to the equivalence in it, there exist only finitely many k-formulas. Hence, a logic is locally finite iff it is k-finite for every finite k.

L is *pretransitive* if there is a formula $\Diamond^*(p)$ ('master modality') s.t. $\Diamond^*(\varphi)$ expresses the satisfiability of φ in cones on models of L.

Pretransitive examples:

K4, GL, wK4 = $[\Diamond \Diamond p \rightarrow \Diamond p \lor p]$, K5 = $[\Diamond p \rightarrow \Box \Diamond p]$, $[\Diamond^n p \rightarrow \Diamond^m p]$ for n > m, (expanding) products of transitive logics

Shetman, Sh, 2016: Every 1-finite (a fortiori, locally finite) modal logic is a pretransitive logic of finite height.

Makinson, 1981: In general, the converse is not true! There exists a pretransitive L s.t. none of the logics L[h], h > 0, are 1-finite: put L = $[\Diamond^3 p \rightarrow \Diamond^2 p]$. The *height of a polymodal frame* $(W, (R_i)_{i < n})$ is the height of the preorder $(W, (\bigcup_{i < n} R_i)^*)$. In the pretransitive case, the formulas of finite height can be defined: $B_0 = \bot$, $B_h = p_h \rightarrow \Box^* (\Diamond^* p_h \lor B_{h-1})$.

Theorem

Let L be a pretransitive logic, $h, k < \omega$. If L[h] is k-finite, then:

- (a) For every i ≤ h, there exists a formula B_{i,k} such that B_{i,k} ∈ x iff the depth of x in the k-canonical frame of L is less than or equal to i.
- (b) For all k-formulas φ ,

$$\mathrm{L}[h+1] \vdash arphi \qquad iff \qquad \mathrm{L} \vdash \bigwedge_{i \leq h} (\Box^* (\Box^* arphi o \mathbf{B}_{i,k}) o \mathbf{B}_{i,k}).$$

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- (b) For all k-formulas φ ,

$$\mathrm{L}[h+1] \vdash \varphi \qquad iff \qquad \mathrm{L} \vdash \bigwedge_{i \leq h} (\Box^* (\Box^* \varphi \to \mathbf{B}_{i,k}) \to \mathbf{B}_{i,k}).$$

Remark. The inconsistent logic $\mathrm{L}[0]$ is locally finite, hence for every pretransitive L

$$L[1] \vdash \varphi \text{ iff } L \vdash \Diamond^* \Box^* \varphi \tag{1}$$

Kudinov, Sh, 2011: A more direct (syntactic) proof of (1).

Contrary to the transitive case, k-finiteness of L[h] depends on h and k.

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But it does not hold in general...

Theorem

There exists a unimodal 1-finite logic which is not locally finite.

Proof.

Let $F = (\omega + 1, R)$, where xRy iff $x \le y$ or $x = \omega$. 1-finiteness of the logic of F is a straightforward exercise. Recall: If the logic of a frame is LF, then the logic of any its subframe is LF. The restriction of the cluster $(\omega + 1, R)$ onto ω is the frame (ω, \le) , which is of infinite height. Thus $Log (\omega + 1, R)$ is not locally finite.

Corollary. There exists a unimodal 1-finite algebra which is not locally finite. Proof. Consider free algebras of a non-locally finite, but 1-finite logic.



1-finiteness does not imply local finiteness.

Question

Does 2-finiteness of a modal logic imply local finiteness? At least, does k-finiteness imply local finiteness, for some fixed k for all modal logics? For modal algebras?

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Thank you!