

Applications of filtrations: PDLization and local finiteness

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Duality, Order, (Co)algebras, Topology, and Related topics

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Kripke complete logics \supseteq

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logics that admit filtration \supseteq

locally finite logics

Part I. If a logic admits filtration then we can enrich it with the converse and transitive closure modalities and modalities for union and composition, preserving the finite model property.

① S. Kikot, E. Zolin, Sh

Filtration safe operations on frames. In *Advances in Modal Logic*, volume 10, pages 333–352, 2014.

② S. Kikot, E. Zolin, Sh

Modal logics with transitive closure: completeness, decidability, filtration. In *Advances in Modal Logic*, volume 13, pages 369–388, 2020.

Part II. Local finiteness of modal logics/algebras via filtrations.

① V. Shehtman, Sh

Local tabularity without transitivity. In *Advances in Modal Logic*, volume 11, pages 520–534, 2016.

② Sh

Modal logics of finite direct powers of ω have the finite model property. In *WoLLIC 2019, Lecture Notes in Computer Science*, pages 610–618, 2019.

Unimodal language: a countable set VAR (propositional variables), Boolean connectives, a unary connective \diamond (\Box abbreviates $\neg\diamond\neg$).

Normal modal logics: Definition 1

A set of modal formulas L is a *normal modal logic* if L contains

- all tautologies

- $\diamond\perp \leftrightarrow \perp, \quad \diamond(p \vee q) \leftrightarrow \diamond p \vee \diamond q$

and is closed under MP, Sub, and Mon:

if $(\varphi \rightarrow \psi) \in L$, then $(\diamond\varphi \rightarrow \diamond\psi) \in L$.

Normal modal logics: Definition 2

A *modal algebra* is a BA endowed with a unary operation that distributes over finite disjunctions.

A set of modal formulas L is a *normal modal logic* if L is the logic of a modal algebra A : $L = \{\varphi \mid A \models \varphi = \top\}$

Preliminaries

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Kripke semantics

A (*Kripke*) *frame* F is a pair (W, R) , where $W \neq \emptyset$, $R \subseteq W \times W$.

A *model* M on F is a pair (F, θ) where $\theta : \text{VAR} \rightarrow \mathcal{P}(W)$.

$$M, x \models p \text{ iff } x \in \theta(p), \quad M, x \models \diamond\varphi \text{ iff } M, y \models \varphi \text{ for some } y \text{ with } xRy.$$

$\text{Log}(F) = \{\varphi \mid F \models \varphi\}$, where $F \models \varphi$ means that $M, x \models \varphi$ for every M on F and every x in M .

The *algebra* $\text{Alg}(F)$ of a frame $F = (W, R)$ is the modal algebra $(\mathcal{P}(W), R^{-1})$.

$$\text{Hence: } F \models \varphi \text{ iff } \text{Alg}(F) \models \varphi = \top.$$

A logic L is *Kripke complete* if L is the logic of a class \mathcal{C} of Kripke frames: $L = \bigcap \{\text{Log}(F) \mid F \in \mathcal{C}\}$.

A logic L has the *finite model property* if L is the logic of a class \mathcal{C} of finite models (algebras, frames).

If a logic L has the fmp and the class of its finite frames (algebras) is decidable, then L is co-RE.
In particular, if L has the fmp and is finitely axiomatizable, then it is decidable.

Example

[McKinsey, 1941] The logic $S4 = [p \rightarrow \diamond p, \diamond\diamond p \rightarrow \diamond p]$ has the fmp and hence is decidable.

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- add a new modality [*new*] to the language of L , and
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Question

Which properties of the logic L are preserved?

The transitive closure of a binary relation R is denoted by R^+ .

Given a frame $F = (W, R)$, we write $F^{(+)} = (W, R, R^+)$.

For a class \mathcal{F} of frames, denote $\mathcal{F}^{(+)} = \{F^{(+)} \mid F \in \mathcal{F}\}$.

The extension of a normal **unimodal** logic L with the *transitive closure modality* is the minimal normal **bimodal** logic L^+ that contains L and the axioms [Seegerberg, 1970s]:

$$(A1) \quad \boxplus p \rightarrow \Box p \quad (A2) \quad \boxplus p \rightarrow \Box \boxplus p \quad (A3) \quad \Box p \wedge \boxplus (p \rightarrow \Box p) \rightarrow \boxplus p.$$

Proposition

$$(W, R, S) \models (A1) \wedge (A2) \wedge (A3) \quad \text{iff} \quad S = R^+.$$

Proposition

$$\text{Frames}(L^+) = \text{Frames}(L)^{(+)}.$$

Are decidability, the FMP, Kripke completeness of a logic preserved?

In general, no.

Counterexamples:

- L is decidable $\not\Rightarrow$ L^u is decidable [Spaan, 1996]
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Filtrability is preserved!

For a model M and a set of formulas Γ ,
 $x \sim_{\Gamma} y \iff \forall \psi \in \Gamma (M, x \models \psi \iff M, y \models \psi)$.

Definition (Filtration)

Let Γ be a subformula-closed set of formulas. A *filtration* of a model $M = (W, R, \theta)$ through Γ (or Γ -*filtration*, for short) is a model $\widehat{M} = (\widehat{W}, \widehat{R}, \widehat{\theta})$ s.t.

- 1 $\widehat{W} = W/\sim$ for **some** equivalence relation \sim such that
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- 2 $\widehat{M}, \widehat{x} \models p \iff M, x \models p$ for all $p \in \Gamma$
 Here \widehat{x} is the class of x modulo \sim .
- 3 $R_{\sim} \subseteq \widehat{R} \subseteq R_{\sim}^{\Gamma}$, where

$$\widehat{x} R_{\sim} \widehat{y} \iff \exists x' \sim x \exists y' \sim y (x' R y')$$

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The relations R_{\sim} and R_{\sim}^{Γ} on \widehat{W} are called the *minimal* and the *maximal filtered relations*, respectively.

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To get a finite L-model, we have two parameters to choose: \sim, \widehat{R}

FMP via filtrations

Construct finite filtrations

- of the canonical model,
- or of any other models characterizing the logic,
- in particular, of **models based on frames of the logic, provided that the logic is Kripke complete.**

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Examples [Lemmon, Scott, Segerberg, Gabbay, Shehtman,...]

- K, T = $[p \rightarrow \diamond p]$, KB = $[p \rightarrow \square \diamond p]$, $[\diamond p \rightarrow \diamond \dots \diamond p]$
 Very simple: use Kripke completeness and put $\sim = \sim_{\Gamma}, \widehat{R} = R_{\sim}$.
- K4 = $[\diamond \diamond p \rightarrow \diamond p]$; K4.2 = $[\diamond \diamond p \rightarrow \diamond p, \diamond \square p \rightarrow \square \diamond p]$
 Simple: consider \sim_{Γ} and the transitive closure of R_{\sim} ;
 for K4.2, assume that M is rooted.

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- $S4.1 = S4 + \square \diamond p \rightarrow \diamond \square p; [\diamond \dots \diamond p \rightarrow \diamond p]$
 Require more steps. In particular, \sim_{Γ} should be refined.
- Some products, expanding products...
 Constructions might be very complicated.

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A class of models \mathcal{M} *admits filtration* if, for any finite Sub-closed set of formulas Γ and any $M \in \mathcal{M}$, there is a finite model in \mathcal{M} that is a Γ -filtration of M .

Various versions of the AF property:

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Theorem (Filtration safe operations on frames)

Suppose that a class \mathcal{F} of frames admits filtration. Then the classes \mathcal{F}^u , \mathcal{F}^t , \mathcal{F}^+ admit filtrations too.

Corollary

Suppose that the class of frames of a logic L admits filtration. Then L^u , L^t , L^+ have the fmp provided that they are Kripke complete.

The statements about the universal modality are due to [Goranko & Passy, 1992].

The statements about the converse and the transitive closure modalities are due to [Kikot & Zolin & Sh, 2014].

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If a logic L is canonical, then L^t and L^u are canonical (so Kripke complete).

This is not the case for L^+ .

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[Ilin, 2018]

...

If L is Kripke complete and the class of its frames $\text{Frames}(L)$ admits filtration, then L has the FMP.

If the class of models $\text{Mod}(L)$ of a logic L admits filtration, then L has the FMP and hence is Kripke complete.

Theorem (Filtration safe operations on frames)

Suppose that a class \mathcal{F} of frames admits filtration. Then the classes \mathcal{F}^u , \mathcal{F}^t , \mathcal{F}^+ admit filtrations too.

Corollary

Suppose that the class of frames of a logic L admits filtration. Then L^u , L^t , L^+ have the fmp provided that they are Kripke complete.

The statements about the universal modality are due to [Goranko & Passy, 1992].

The statements about the converse and the transitive closure modalities are due to [Kikot & Zolin & Sh, 2014].

If a logic L is canonical, then L^t and L^u are canonical (so Kripke complete).

This is not the case for L^+ .

There is a semantic condition of L sufficient for the Kripke completeness of L^+ .

A class of frames \mathcal{F} *admits filtration* if, for any finite Sub-closed set of formulas Γ and an \mathcal{F} -model M , there exists a finite \mathcal{F} -model that is a Γ -filtration of M .

A class of models \mathcal{M} *admits filtration* if, for any finite Sub-closed set of formulas Γ and any $M \in \mathcal{M}$, there is a finite model in \mathcal{M} that is a Γ -filtration of M .

Various versions of the AF property:

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[G. Bezhanishvili & Zakharyashev, 1997]

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A Γ -filtration $\widehat{M} = (W/\sim, \dots)$ is *definable* if $\sim = \sim_\Psi$ for some set of formulas $\Psi \supseteq \Gamma$.

Theorem ([Zolin, Sh, 2015])

If the class $\text{Mod}(L)$ admits definable filtration, then so does the class $\text{Mod}(L^+)$.

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Theorem ([Zolin, Sh, 2015])

If the class $\text{Mod}(L)$ admits definable filtration, then so does the class $\text{Mod}(L^+)$.

For any logic L , if $\text{Mod}(L)$ admits (definable) filtration, then so does $\text{Frames}(L)$.

Proposition (ADF for frames implies ADF for models)

If L is a canonical logic, then $\text{Frames}(L)$ admits definable filtration iff so does $\text{Mod}(L)$.

For an alphabet Σ , let $\Sigma^\sharp = \Sigma \cup \{(e \circ f), (e \cup f), e^+ \mid e, f \in \Sigma\}$, assuming that the added symbols are not in Σ . Put $\Sigma^{(0)} = \Sigma$, $\Sigma^{(n+1)} = (\Sigma^{(n)})^\sharp$.

For a frame $F = (W, (R_e)_{e \in \Sigma})$, put $F^\sharp = (W, (R_e)_{e \in \Sigma^\sharp})$, where for $e, c \in \Sigma$,

$$R_{e \circ c} = R_e \circ R_c, \quad R_{e \cup c} = R_e \cup R_c, \quad R_{e^+} = (R_e)^+.$$

Put $F^{(0)} = F$, $F^{(n+1)} = (F^{(n)})^\sharp$.

For a logic L over Σ , let L^\sharp be the smallest (normal) logic over Σ^\sharp that contains L and the following PDL-like axioms, for all $e, c \in \Sigma$:

$$\begin{aligned} [e \cup c]p &\leftrightarrow [e]p \wedge [c]p, \\ [e \circ c]p &\leftrightarrow [e][c]p, \\ [e^+]p &\rightarrow [e]p, \quad [e^+]p \rightarrow [e][e^+]p, \quad [e^+](p \rightarrow [e]p) \rightarrow ([e]p \rightarrow [e^+]p). \end{aligned}$$

We put $L^{(0)} = L$, $L^{(n+1)} = (L^{(n)})^\sharp$.

Corollary ([Kikot, Zolin, Sh, 2020])

Let L be a logic over a finite alphabet Σ . If the class of its models $\text{Mod}(L)$ admits definable filtration, then, for every $n < \omega$, we have:

- ① $\text{Mod}(L^{(n)})$ admits definable filtration.
- ② $L^{(n)}$ has the finite model property; a fortiori, $L^{(n)}$ is Kripke complete.
- ③ If L is finitely axiomatizable, then $L^{(n)}$ is decidable.

Example

Let each L_1, \dots, L_k be any of the logics K, T, B, K4, S4, S5. Then, for any $n < \omega$, the logic $(L_1 * \dots * L_k)^{(n)}$ has the fmp and is decidable.

That the class $\text{Mod}(L)$ admits definable filtration is sufficient for the Kripke completeness of L^+ .

Problem

Syntactic condition(s) on L for the Kripke completeness of L^+ .

[Kikot, 2015] Sufficient first-order conditions on L admits definable filtrations (in some strict sense: $\sim = \sim_{\Gamma}$).

[Illin, 2016] A family of extensions of PDL with the FMP.

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Question

Is there a transitive canonical logic L which has the FMP, but does not admit filtration?

Negative answer might be useful for negative results about decidability.

An algebra A is *locally finite* if every finitely generated subalgebra of A is finite.

A logic L is *locally finite* (or *locally tabular*) if for all $k < \omega$ there are only finitely many k -formulas (i.e., formulas in k variables) up to \leftrightarrow_L .

TFAE:

L is locally finite.

Every finitely generated Lindenbaum-Tarski (i.e., free) algebra of L is finite.

The variety of L -algebras is *locally finite*, i.e., every finitely generated L -algebra is finite.

$Log(F)$ is LF \Rightarrow $Alg(F)$ is LF \Rightarrow $Log(F)$ has the FMP
 \Leftarrow \Leftarrow

 Segerberg, K., "An Essay in Classical Modal Logic," 1971.

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 ...

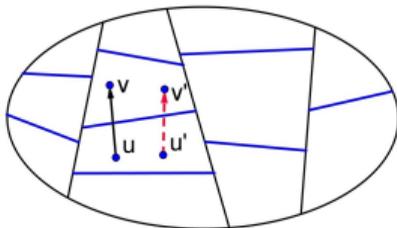
[[Shehtman, 2014](#)] If L is locally finite, then it admits definable filtration.

Let $F = (W, R)$ be a frame. A partition \mathcal{A} of W is *tuned* if for every $U, V \in \mathcal{A}$,

$$\exists u \in U \exists v \in V \ u R v \Rightarrow \forall u \in U \exists v \in V \ u R v.$$

F is said to be *tunable* if every finite partition \mathcal{A} of F admits a finite tuned refinement.

The key tool: The algebra of F is locally finite iff F is tunable.

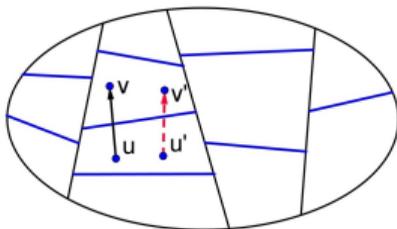


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TFAE:

- \mathcal{A} is tuned in F
- The equivalence \sim defined by $\mathcal{A} = W/\sim$ satisfies the condition

$$\sim \circ R \subseteq R \circ \sim,$$

i.e., \sim is a bisimulation w.r.t. R on W .

- $x \mapsto [x]_{\mathcal{A}}$ is a p-morphism from F onto the "Franzen's filtration" $(\mathcal{A}, R_{\mathcal{A}})$, where for $U, V \in \mathcal{A}$,

$$UR_{\mathcal{A}}V \text{ iff } \exists u \in U \exists v \in V uRv$$

[Seegerberg, K.: Franzen's proof of Bull's theorem. *Ajatus* 35, 216–221 (1973)]

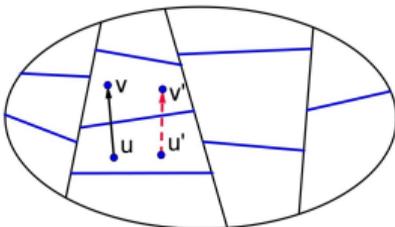
- Unions of elements of \mathcal{A} form a subalgebra of $Alg(F)$.

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Theorem [Malcev, 1960s]

The variety $\text{Var}(A)$ of a finite signature is LF iff there exists $f : \omega \rightarrow \omega$ s.t. the cardinality of a subalgebra of A generated by $m < \omega$ elements is $\leq f(m)$.

Corollary [Shehtman & Sh, 2016]

$\text{Log}(F)$ is LF iff there exists $f : \omega \rightarrow \omega$ s.t. every finite partition \mathcal{A} of F admits a tuned finite refinement \mathcal{B} with $|\mathcal{B}| \leq f(|\mathcal{A}|)$.

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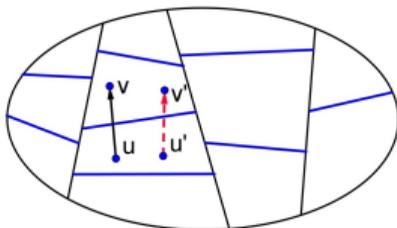
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[Seegerberg, 1971; Maksimova, 1975] A transitive logic L is locally finite iff L is of finite height.

The non-transitive case is much more complicated and less investigated.

[Shehtman, Sh, 2016] This criterion holds for all logics containing $\diamond^m p \rightarrow \diamond p \vee p$, $m > 1$.

L is *pretransitive* if there is a formula $\diamond^*(p)$ ('master modality') s.t. $\diamond^*(\varphi)$ expresses the satisfiability of φ in cones on models of L .

Pretransitive examples:

$K4$, $wK4 = [\diamond\diamond p \rightarrow \diamond p \vee p]$, $K5 = [\diamond p \rightarrow \Box\diamond p]$, $[\diamond^n p \rightarrow \diamond^m p]$ for $n > m$, products of transitive logics

Shehtman, Sh, 2016: Every 1-finite (a fortiori, locally finite) modal logic is a pretransitive logic of finite height.

Makinson, 1981: In general, the converse is not true.

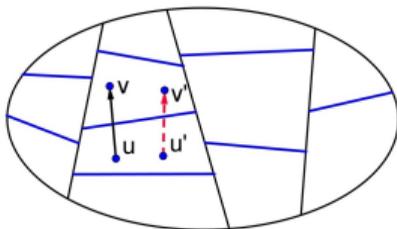
There exists a pretransitive L s.t. $L[1]$, the extension of L with the axiom of height 1, is not 1-finite. (Put $L = [\diamond^3 p \rightarrow \diamond^2 p]$.)

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$\text{Log}(F)$ is LF iff there exists $f : \omega \rightarrow \omega$ s.t. every finite partition \mathcal{A} of F admits a tuned finite refinement \mathcal{B} with $|\mathcal{B}| \leq f(|\mathcal{A}|)$.

A logic is said to be *k-finite* if, up to the provable equivalence, there exist only finitely many k -formulas.

[Maksimova, 1975]

A transitive logic is locally finite iff it is 1-finite.

Strange fact. If the logic (algebra) of a frame F is locally finite, then the logic (algebra) of any subframe of F is also locally finite.

Corollary. There exists a unimodal 1-finite logic which is not locally finite:

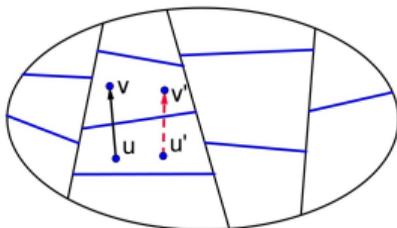
There is a frame F s.t. every 2-element partition can be tuned, while F contains a subframe of infinite height.

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$$\exists u \in U \exists v \in V uRv \Rightarrow \forall u \in U \exists v \in V uRv.$$

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It is unknown whether 2-finiteness of a modal logic implies local finiteness.

Chagrov's modal formulas correspond to

$$\forall x_0, \dots, x_{m+1} \left(x_0 R x_1 \dots R x_{m+1} \rightarrow \bigvee_{i < j} x_i = x_j \right).$$

[Chagrov, Shehtman, 1994] Logics containing Chagrov's formulas are LF.

Consider the following first-order properties P_m :

$$\forall x_0, \dots, x_{m+1} \left(x_0 R x_1 \dots R x_{m+1} \rightarrow \bigvee_{i < j} x_i = x_j \vee \bigvee_{i+1 < j} x_j R x_i \right).$$

Observation. If the logic of F is 2-finite, then P_m holds in F for some m .

Final remark: Franzen's filtrations might be very useful to prove the FMP when the axiomatization is unknown.



For $x = (x_1, \dots, x_n) \in \mathbb{R}^n$, $\mu(x) = -x_n^2 + \sum_{i=1}^{n-1} x_i^2$

Chronological \prec and *causal* \preceq *future*:

$x \prec y \iff \mu(x - y) < 0 \ \& \ x_n < y_n$

$x \preceq y \iff \mu(x - y) \leq 0 \ \& \ x_n \leq y_n$

Goldblatt, 1980; Shehtman, 1983: For $n \geq 2$, the modal logic of (\mathbb{R}^n, \preceq) is $S4.2 = [\diamond\diamond p \rightarrow \diamond p, p \rightarrow \diamond p, \diamond\square p \rightarrow \square\diamond p]$.

Problems of Goldblatt:

- ① Axiomatize the logics corresponding to \prec in the various dimensions.
- ② Axiomatize the *bimodal* logics of $(\mathbb{R}^n, \preceq, \succeq)$ and of $(\mathbb{R}^n, \prec, \succ)$.
- ③ Analyze the logic of *discrete spacetime*.

Problems 1 and 3 were formulated in 1980, Problem 2 in 1992.

Solutions and partial solutions:

- ① Shehtman & Sh, 2002: Finite axiomatization and the FMP of the logic of \prec (all dimensions).
- ② Hirsch & Reynolds, 2018: The logic of $(\mathbb{R}^2, \preceq, \succeq)$ is decidable (in PSPACE).
Hirsch & McLean, 2018: The logic of $(\mathbb{R}^2, \prec, \succ)$ is decidable (in PSPACE).
- ③ Sh, 2019: (\mathbb{Z}^2, \prec) and (\mathbb{Z}^2, \preceq) have logics with the FMP
(Explanation: the direct squares $(\omega, <)^2$, $(\omega, \leq)^2$ are tunable).

In the 2-dimensional case, the above structures are direct squares of linear orders.

Question

Let frames F_1 and F_2 be tunable. Is the direct product $F_1 \times F_2$ tunable?

In the other words:

if $\text{Alg}(F_1)$ and $\text{Alg}(F_2)$ are LF, is the algebra $\text{Alg}(F_1 \times F_2)$ LF?

Thank you!